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**TRANSMITTAL  
FORM**

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Total Number of Pages in This Submission

Application Number 10/738,371

Filing Date 12/16/2003

First Named Inventor Steven R. Soltis

Art Unit 2171

Examiner Name

Attorney Docket Number 3000

**ENCLOSURES (Check all that apply)**

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Remarks

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name BECK &amp; TYSVER, P.L.L.C.

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Daniel A. Tysver

Date

17 August 2006

Reg. No.

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	10/738,371
Filing Date	12/16/2003
First Named Inventor	Steven R. Soltis
Art Unit	2171
Examiner Name	
Attorney Docket Number	3000

Sheet 1 of 2

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 5,043,876	08-27-1991	Terry	
		US- 5,561,799	10-01-1996	Khalidi et al.	
		US- 5,652,913	07-29-1997	Crick et al.	
		US- 5,668,958	09-16-1997	Bendert et al.	
		US- 5,828,876	10-27-1999	Fish et al.	
		US- 5,978,773	11-02-1999	Hudetz et al.	
		US- 5,909,540	06-01-1999	Carter et al.	
		US- 5,764,972	06-09-1998	Crouse et al.	
		US- 5,909,540	06-01-1999	Carter et al.	
		US- 5,931,918	08-03-1999	Row et al.	
		US- 5,987,621	11-16-1999	Duso et al.	
		US- 5,933,603	03-03-1999	Vahalia et al.	
		US- 2002/0112022	08-2002	Kazar et al.	
		US- 5,802,366	09-1998	Row et al.	
		US- 2001/0037406	11-2001	Philbrick et al.	
		US- 5,758,342	05-1998	Gregerson, James Charles	
		US- 5,202,971	04-1993	Henson et al.	
		US- 5,561,799	10-1996	Khalidi et al.	
		US- 5,740,230	04-1998	Vaudreuil, Gregory M.	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				

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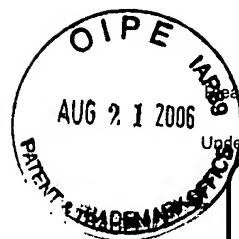
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<b>Substitute for form 1449B/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)  Sheet 1 of 2		<b>Complete if Known</b>	
		Application Number	10/738,371
		Filing Date	12/16/2003
		First Named Inventor	Soltis, Steven R.
		Group Art Unit	2171
		Examiner Name	
		Attorney Docket Number	3000

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		T. ANDERSON, M. DAHLIN, J. NEEFE, D. PATTERSON, D. ROSELLI, and R. WANG, "Serverless Network File System," Proceedings of the Fifteenth ACM Symposium on Operating System Principles, 1995, The Association for Computer Machinery (ACM) Press, New York, NY USA.	
		M. DEVARAKONDA, A. MOHINDRA, J. SIMONEAUX, and W. TETZLAFF, "Evaluation of Design Alternatives for a Cluster File System," 1995 USENIX Technical Conference on UNIX and Advanced Computing Systems, Jan 1995, pp. 35-46, USENIX Association, Berkeley, CA USA.	
		G. GIBSON, D. NAGLE, K. AMIRI, F. CHANG, H. GOBIOFF, E. RIEDEL, D. ROCHBERG, and J. ZELENKA, "File Server Scaling with Network-Attached Secure Disks," Proceedings of the ACM International Conference on Measurements and Modeling of Computer Systems, June 1997, The Association for Computer Machinery (ACM) Press, New York, NY USA.	
		G. GIBSON, D. NAGLE, K. AMIRI, F. CHANG, E. FEINBERG, H. GOBIOFF, C. LEE, B. OZCERI, E. RIEDEL, D. ROCHBERG, and J. ZELENKA, "Filesystems for Network-Attached Secure Disks," Carnegie Mellon University Technical Report CMU-CS-97-118, July 1997, Carnegie Mellon University, Pittsburgh, PA USA.	
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		Y. KHALIDI and M. NELSON, "Extensible File Systems in Spring", Sun Microsystems Laboratories Technical Report TR-93-18, September 1993, Sun Microsystems Laboratories, Inc., Mountain View, CA USA.	
		S. KLEIMAN, "Vnodes: An Architecture for Multiple File System Types in Sun UNIX," Proceedings of the Summer USENIX Conference, June 1986, pp. 238-247, USENIX Association, Berkeley, CA USA.	
		K. MATTHEWS, "Implementing a Shared File System on a HIPPI Disk Array," Fourteenth IEEE Symposium on Mass Storage Systems, 1995, pp. 77-88, Institute of Electrical and Electronics Engineers, Inc., Piscataway, NJ USA.	
		J. OUSTERHOUT, A. CHERENSON, F. DOUGLIS, M. NELSON, and B. WELCH, "The Sprite Network Operating System, IEEE Computer, Feb 1988, pp. 23-36, Institute of Electrical and Electronics Engineers, Inc., Piscataway, NJ USA.	
		B. PAWLOWSKI, C. JUSZCZAK, P. STAUBACH, C. SMITH, D. LEBEL, and D. HITZ, "NFS Version 3: Design and Implementation," Proceedings of the Summer USENIX Conference, 1994, USENIX Association, Berkeley, CA.	
		D. ROSENTHAL, "Evolving the Vnode Interface," Proceedings of the Summer USENIX Conference, June 1990, pp. 107-117, USENIX Association, Berkeley, CA USA.	

Examiner Signature		Date Considered	
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		Filing Date	12/16/2003		
		First Named Inventor	Soltis, Steven R.		
		Group Art Unit	2171		
		Examiner Name			
Sheet	2	of	2	Attorney Docket Number	3000

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
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		R. SANDBERG, D. GOLDBERG, S. KLEIMAN, D. WALSH, and B. LYON, "Design and Implementation of the Sun Network File System," Proceedings of the Summer USENIX Conference, 1985, pp. 119-130, USENIX Association, Berkeley, CA USA.	
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